

Gaussian model

Ref: Kardar vol 2, ch 4
 Goldenfeld, ch 12.
 Wilson & Kogut review

Recap of how we arrived at the model:

Starting from the Ising Hamiltonian $H = - \sum_{ij} J_{ij} \sigma_i \sigma_j - \sum_i h_i \sigma_i$ with $\sigma_i = \pm 1$ and taking the Hubbard-Stratonovich transformation, gives the partition in terms of a new set of continuous variables $\psi_i \in \mathbb{R}$.

$$Z_N = \mathcal{N} \int \prod_{i=1}^N d\psi_i e^{-\sum_{ij} J_{ij} \psi_i \psi_j + \sum_i h_i \psi_i + \sum_i \log \cosh(2 \sum_j J_{ij} \psi_j)}$$

Ising magnetization is related by

$$m_i = \frac{\partial}{\partial h_i} \ln Z_N$$

In the continuous limit $\psi_i \rightarrow \psi(\vec{x})$ and $J_{ij} \rightarrow J(\vec{x} - \vec{x}')$

$$Z_N = \int \mathcal{D}[\psi] e^{-\mathcal{A}[\psi]}$$

$$\text{With } \mathcal{A} = \int_a^L d\vec{x} d\vec{x}' \psi(\vec{x}) J(\vec{x} - \vec{x}') \psi(\vec{x}') - \int_a^L d\vec{x} h(\vec{x}) \psi(\vec{x}) - \int_a^L d\vec{x} \log \cosh(2 \int_a^L d\vec{x}' J(\vec{x} - \vec{x}') \psi(\vec{x}'))$$

For short-range interactions, using a Taylor expansion

$$\psi(\vec{x}') = \psi(\vec{x}) + (\vec{x} - \vec{x}') \cdot \nabla \psi(\vec{x}) + \frac{1}{2} \sum_{\alpha} \sum_{\beta} (\vec{x} - \vec{x}')_{\alpha} (\vec{x} - \vec{x}')_{\beta} \nabla_{\alpha} \nabla_{\beta} \psi(\vec{x}) + \dots$$

We write

$$\int d\vec{x} \int d\vec{x}' \psi(\vec{x}) J(\vec{x} - \vec{x}') \psi(\vec{x}') \simeq \int d\vec{x} \cdot J_0 \cdot \psi(\vec{x})^2 - \frac{1}{2} \cdot J_0 \cdot \xi_0^2 \cdot \int d\vec{x} (\nabla \psi(\vec{x}))^2 + \dots$$

where $\int d\vec{x}' J(\vec{x} - \vec{x}') = J_0$
 $\int d\vec{x}' (\vec{x} - \vec{x}')^2 J(\vec{x} - \vec{x}') \simeq \xi_0^2 J_0$ with ξ_0 being the range of interaction $J(\vec{x} - \vec{x}')$.

Similarly

$$\begin{aligned} & \log \cosh(2 \int d\vec{x}' J(\vec{x} - \vec{x}') \psi(\vec{x}')) \\ & \simeq \log \cosh(2 J_0 \psi(\vec{x}) + J_0 \xi_0^2 \nabla^2 \psi(\vec{x}) + \dots) \\ & \simeq 2 J_0^2 \psi(\vec{x})^2 + 2 J_0^2 \xi_0^2 \psi(\vec{x}) \nabla^2 \psi(\vec{x}) + J_0^2 \xi_0^4 (\nabla^2 \psi(\vec{x}))^2 - 8 J_0^4 \psi(\vec{x})^4 + \dots \end{aligned}$$

leads to a Landau-Ginzburg free energy

$$\mathcal{A} = \int d\vec{x} \left\{ \frac{t(J_0)}{2} \psi(\vec{x})^2 + \frac{K_1(J_0)}{2} (\nabla \psi(\vec{x}))^2 + \frac{K_2(J_0)}{2} (\nabla^2 \psi(\vec{x}))^2 + \frac{b(J_0)}{4} \psi(\vec{x})^4 + \dots - h(\vec{x}) \psi(\vec{x}) \right\}$$

$$+ \dots - h(\vec{x})\psi(\vec{x}) \}$$

When we keep only terms up to quadratic order, it is the Gaussian model.

Remark: The case with t, k_1, b , and h non-zero, and rest of the term being zero is the Landau-Ginzburg Hamiltonian we discussed.

Remark: A similar $\mathcal{L}[m(\vec{x})]$ can be derived by coarse graining the Ising magnetization.

$$e^{-\mathcal{L}[m]} = \sum_{\{\sigma_i\}} e^{-\mathcal{H}[\{\sigma_i\}]} \prod_{j \in \vec{x}} \delta_{\sum_j \sigma_j, m(\vec{x})}$$

For mean-field Ising, this can be done exactly, even at single site level, and one gets

$$\mathcal{L} = - \sum_{ij} J_{ij} m_i m_j - \sum_i h_i m_i + \sum_i \left[\frac{1+m_i}{2} \log \frac{1+m_i}{2} + \frac{1-m_i}{2} \log \frac{1-m_i}{2} \right]$$

Exact analysis of the Gaussian model

The Gaussian model

$$\mathcal{L} = \int d\vec{x} \left\{ \frac{t(\vec{x}_0)}{2} (\psi(\vec{x}))^2 + \frac{k_1(\vec{x}_0)}{2} (\nabla\psi)^2 + \frac{k_2(\vec{x}_0)}{2} (\nabla^2\psi)^2 + \dots - h\psi(\vec{x}) \right\}$$

only ψ^2 order terms.

There is a phase transition when $t(\vec{x}_0)$ changes sign, although the low temperature phase is unstable because of absence of a confining ϕ^4 term.



The Gaussian model is exactly solvable because the Fourier modes are non-interacting.

$$\hat{\Psi}(\vec{q}) = \int d\vec{x} \psi(\vec{x}) e^{i\vec{q}\cdot\vec{x}} \quad \text{and} \quad \psi(\vec{x}) = \frac{1}{L^d} \sum_{\vec{q}} e^{-i\vec{q}\cdot\vec{x}} \hat{\Psi}(\vec{q})$$

For a finite system of volume L^d , the \vec{q} -space is discrete of infinitesimal boxes of size $(\frac{2\pi}{L})^d$. Largest \vec{q} value is limited by microscopic length scale \bar{a}^{-1} (lattice unit) such that \vec{q} are from a Brillouin zone.

For brevity, we shall denote

$$\frac{1}{L^d} \sum_{\vec{q}} \xrightarrow{L \rightarrow \infty} \frac{1}{(2\pi)^d} \int d\vec{q}$$

[A useful identity, $\int d\vec{x} e^{i\vec{q}\cdot\vec{x}} = (2\pi)^d \delta(\vec{q})$ and $\int d\vec{q} e^{-i\vec{q}\cdot\vec{x}} = (2\pi)^d \delta(\vec{x})$]

This gives

$$\begin{aligned} \int d\bar{n} \psi(\bar{n}) \psi(\bar{n}) &= \frac{1}{(2\pi)^{2d}} \int d\bar{q} d\bar{q}' \psi(\bar{q}) \psi(\bar{q}') \int d\bar{n} e^{-i(\bar{q}+\bar{q}')\cdot\bar{n}} \\ &= \frac{1}{(2\pi)^d} \int d\bar{q} \psi(\bar{q}) \psi(-\bar{q}) \end{aligned}$$

Similarly

$$\int d\bar{n} (\bar{q}\psi)^2 = \frac{1}{(2\pi)^d} \int d\bar{q} \cdot q^2 \cdot \psi(\bar{q}) \psi(-\bar{q})$$

Then, the Landau functional

$$\mathcal{Z} = \frac{1}{(2\pi)^d} \int d\bar{q} \left\{ \frac{t + K_1 q^2 + K_2 q^4 + \dots}{2} \right\} \underbrace{\psi(\bar{q}) \psi(-\bar{q})}_{|\psi(\bar{q})|^2 \text{ using } \psi(-q) = \psi^*(q)} - h \psi(0)$$

Then, the q -modes are non-interacting and the partition function can be evaluated exactly.

[See Kardar, vol 2, ch 4.6]

Remark: The exact solution shows that the free energy density is singular for $t(\bar{q}_0) = 0$, with the singular part

$$\begin{aligned} f_{na} &\simeq -t^{d/2} \left[c_1 + \frac{h^2}{2t^{1+d/2}} \right] \quad \text{for } t > 0 \\ &\simeq t^{2-\alpha} \psi_f(h/t^\Delta) \quad \text{with } \alpha = 2 - \frac{d}{2} \text{ and } \Delta = \frac{2+d}{4}. \end{aligned}$$

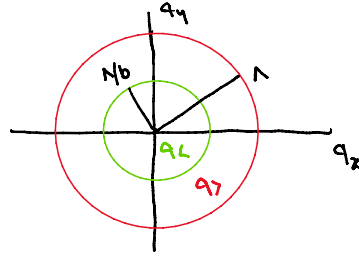
Notice how leading singularity do not involve the couplings K_2 , and higher orders. They are irrelevant couplings in RG language. We shall see this in our RG calculation below.

RG analysis of the Gaussian model.

Starting point

$$\mathcal{Z} = \int \mathcal{D}[\hat{\psi}(\bar{q})] e^{-\frac{1}{(2\pi)^d} \int d\bar{q} \frac{t + K_1 q^2 + K_2 q^4 + \dots}{2} |\hat{\psi}(\bar{q})|^2 + h \hat{\psi}(0)}$$

Although Brillouin zone has a particular shape, we shall approximate it as a hypersphere. This is acceptable given that singularities are expected near $q \rightarrow 0$ modes.



RG steps:

(1) decimation: coarse-graining over fluctuation in real space in length scale $\lambda^{-1} < n < b\lambda^{-1}$ is equivalent to integrating over Fourier modes in $\frac{\lambda}{b} < q < \lambda$. Denoting the Fourier modes $q_L < \lambda/b$ and $\frac{\lambda}{b} < q_U < \lambda$ we write

$$Z_n = \int \mathcal{D}[\hat{\Psi}(q_L)] \int \mathcal{D}[\hat{\Psi}(q_U)] e^{-\mathcal{A}[q]}$$

Since, for Gaussian model all q modes are decoupled

$$\mathcal{A}[q] \equiv \mathcal{A}[q_L] + \mathcal{A}[q_U]$$

$$\Rightarrow \int \mathcal{D}[\hat{\Psi}(q_U)] e^{-\mathcal{A}[q_U]} = \int \mathcal{D}[\hat{\Psi}(q_U)] e^{-\frac{1}{(2\pi)^d} \int_{\lambda/b}^{\lambda} dq_U a(q_U) |\hat{\Psi}(q_U)|^2}$$

$$= \prod_{k=1}^n \int d\psi e^{-\frac{1}{L^d} a_k \cdot \psi^2}$$

total n modes in the integration shell.

$$= \prod_{k=1}^n \left(\frac{\pi \cdot L^d}{a_k} \right)^{1/2}$$

$$= \exp\left(\frac{1}{2} \sum_{k=1}^n \log \frac{\pi L^d}{a_k} \right)$$

$$= \exp\left(\frac{nd}{2} \log L + \frac{L^d}{2} \cdot \frac{1}{L^d} \sum_q \log \frac{\pi}{a(q)} \right)$$

$$= \exp\left(\text{constant} + \frac{L^d}{2} \cdot \frac{1}{(2\pi)^d} \int_{\lambda/b}^{\lambda} dq_U \log \frac{\pi}{a(q_U)} \right)$$

Waiting together,

$$Z_n = n \cdot e^{\frac{L^d}{2} \int_{\lambda/b}^{\lambda} \frac{dq}{(2\pi)^d} \log \frac{2\pi}{t + \kappa_1 q^2 + \kappa_2 q^4 + \dots}} \cdot \int \mathcal{D}[\hat{\Psi}(q_L)] e^{-\mathcal{A}_L[\hat{\Psi}(q_L)]}$$

(2) Rescale:

$$\mathcal{A}_L[m(q_L)] = \int_0^{\lambda/b} \frac{d\bar{q}_L}{(2\pi)^d} \left\{ \frac{t + \kappa_1 \bar{q}_L^2 + \kappa_2 \bar{q}_L^4 + \dots}{2} \right\} |\hat{\Psi}(\bar{q}_L)|^2 - h \hat{\Psi}(0)$$

Defining $\bar{q}_z = \frac{\bar{q}}{b}$ (this is equivalent to $\bar{r}' = b\bar{r}$ in real space)

$$\Rightarrow \mathcal{L}_z = \int_0^\Lambda \frac{d\bar{q}}{(2\pi)^d} \cdot b^{-d} \cdot \left\{ \frac{t + K_1 b^{-2} q^2 + K_2 b^{-4} q^4 + \dots}{2} \right\} |\tilde{\Psi}(q)|^2 - h \tilde{\Psi}(0)$$

(3) Renormalize: Here $\tilde{\Psi}(q)$ is an integration variable. Define a new variable

$$\hat{\Psi}(q) = b^{-\frac{d+2}{2}} \tilde{\Psi}(q)$$

This choice is to keep the coupling for the $(\nabla\Psi)^2$ term unchanged, which corresponds to keeping the amplitudes of fluctuations same (or bounded). Its like keeping the contrast of the coarse-grain picture same. Another way to see this is that this makes the $(t=0, h=0)$ as a critical fixed point which we know a priori from exact solution.

We get,

$$\mathcal{L}_z = \int_0^\Lambda \frac{d\bar{q}}{(2\pi)^d} \left\{ \frac{b^2 t + K_1 q^2 + K_2 b^{-2} q^4 + \dots}{2} \right\} |\hat{\Psi}(\bar{q})|^2 - h \frac{d+2}{b^2} \hat{\Psi}(0)$$

This gives the partition function in terms of new Landau functional

$$\begin{aligned} \mathcal{Z} &= \int \omega[\hat{\Psi}(q)] e^{-\mathcal{L}[\hat{\Psi}; t, K_1, K_2, \dots, h]} \\ &= e^{-L^d \mathcal{F}_0} \int \omega[\hat{\Psi}(q)] e^{-\mathcal{L}[\hat{\Psi}; t', K'_1, K'_2, \dots, h']} \end{aligned}$$

The RG flow: the coupling constants change as

$$t' = b^2 t \quad h' = b^{\frac{d+2}{2}} h$$

$$K'_1 = K_1 \quad K'_2 = b^{-2} K_2$$

higher order couplings has higher powers in $1/b$.

Then the anomalous dimensions

$$y_t = 2, \quad y_h = 1 + \frac{d}{2}, \quad y_1 = 0, \quad y_2 = -2, \dots, y_d < 0$$

irrelevant directions

[Note, no linear approximation near a fixed point is used. The above simple form hold everywhere on the coupling space]

Critical exponents: the $(t=0, h=0)$ is a fixed point. The non-analytic part of the free energy density

$$f_{na}(t, h) = b^{-d} f_{na}(b^{y_t} t, b^{y_h} h) \\ = t^{d/y_t} \psi_f\left(\frac{h}{t^{y_h/y_t}}\right)$$

Following the standard scaling analysis (discussed in previous lectures) we get the critical exponents

$$\alpha = \frac{4-d}{2}, \quad \beta = \frac{d-2}{4}, \quad \gamma = 1, \quad \delta = \frac{d+2}{d-2}, \quad \nu = 0, \quad \nu = \frac{1}{2}$$

These exponents α, γ, ν , and ν match with their results from saddle point approx. Only two (β and δ) do not match. Of course these two exponents are defined in the low temp phase $T < T_c$, which is ill defined for Gaussian model. However the reason is something deeper. In fact, we shall see that the ψ^4 -term, which stabilizes the low temp phase, is irrelevant for $d > 4$ and mean-field exponents are exact. Then RG should reproduce the correct meanfield exponents. The reason is that even though ψ^4 term is irrelevant above $d=4$, it is a dangerously irrelevant term.

Dangerously irrelevant coupling:

$$\mathcal{L}_4 = \int d\vec{x} \left\{ \frac{1}{2} (\nabla \psi)^2 + \frac{t}{2} \psi^2 + u \psi^4 - h \psi \right\}$$

Near the critical point of this model we expect

$$f_{na}(t, h, u) = b^{-d} f_{na}(b^{y_t} t, b^{y_h} h, b^{y_u} u) \\ = t^{d/y_t} \psi_f\left(\frac{h}{t^{y_h/y_t}}, \frac{u}{t^{y_u/y_t}}\right) \quad \text{with } \Delta_h = \frac{y_h}{y_t}, \Delta_u = \frac{y_u}{y_t}$$

\Rightarrow Order parameter

$$m = \left. \frac{\partial f}{\partial h} \right|_{h=0} = t^{d/y_t - \Delta_h} \psi_m\left(\frac{u}{t^{\Delta_u}}\right)$$

Knowing that for $d > 4$, coupling u is irrelevant, we set

$$m \sim t^{d/y_t - \Delta_h} \psi_m(0) \sim t^\beta \quad \text{and got } \beta = \frac{d}{y_t} - \Delta_h \\ = \frac{d}{2} - \frac{1+d/2}{2} \\ = \frac{d-2}{4} \quad (\text{the wrong result})$$

The error came from the assumption that $\psi_m\left(\frac{u}{t^{\Delta_u}}\right)$ is analytic around $u=0$.

We can see that this is not correct from the mean field saddle point analysis which gives

$$m = \sqrt{\frac{1-t}{u}}$$

For large t , i.e. away from criticality we expect the mean field to be valid, therefore,

$$m \simeq t^{\frac{d}{2t} - 4h} \psi\left(\frac{u}{t^{4u}}\right) \sim t^{\frac{d}{2t} - 4h} \left(\frac{u}{t^{4u}}\right)^{-1/2} \\ \sim \left(\frac{t^{4u - 2 \cdot 4h + \frac{2d}{2t}}}{u}\right)^{1/2}$$

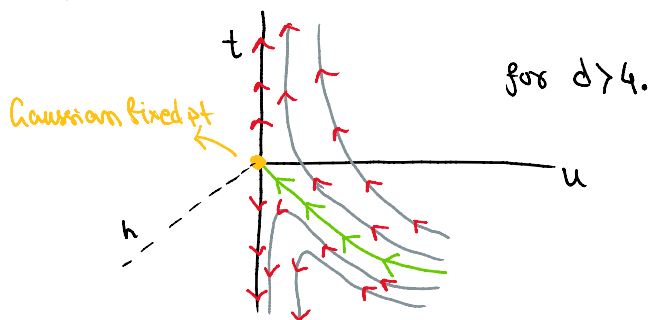
Consistency demands

$$4u = 2\left(\frac{d}{2t} - 4h\right) = \frac{d-2}{2} \quad \text{and} \quad \psi(x) \sim x^{-1/2} \quad \text{for } x \rightarrow 0. \\ \text{non-analytic.}$$

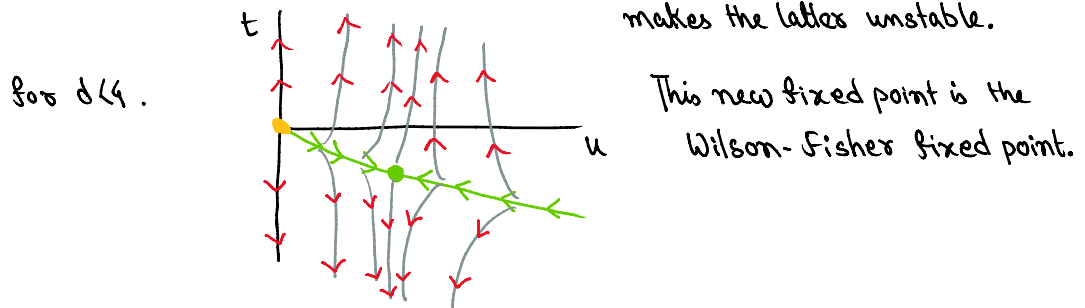
Taking into account this non-analyticity gives the correct exponent $\beta = \frac{1}{2}$.

Bottomline, even though $u\psi^4$ is an irrelevant term in RG for $d > 4$, it is a dangerously irrelevant variable.

Remark: We shall see that $d=4$ is the upper critical dimension for d_4 . For $d > 4$, there is a critical fixed point ($t=0, h=0, u=0$) which gives the meanfield critical exponents. This fixed point is the Gaussian fixed point.



For $d < 4$, a new fixed point emerges close to the Gaussian fixed point, which makes the latter unstable.



This means that u variable becomes a relevant variable for $d < 4$, and it sends the RG flow towards the WF-fixed point which determines the critical exponents.

It is very hard to determine the flow around WF-fixed point. Fortunately, for $4-d$ small, the WF-fixed point appears very close to the Gaussian-fixed point and can be analyzed using perturbation theory (our next topic).